

Organization of American States

Fund for Indirect Cost Recovery (FICR)

Preliminary and unaudited

SCHEDULE A

Sources of ICR: Financing by Area

(in USD)	2019	2018	2017	2016
	December 31	December 31	December 31	December 31
Sources of ICR				
ICR collection from Specific Funds:				
Chapter 1 - Secretary General	140,504	26,823	13,377	-
Chapter 2 - Assistant Secretary General	12,138	21,480	155	411
Chapter 3 - Principal and Specialized Organs	898,679	1,268,770	790,588	1,104,988
Chapter 4 - Strategic Counsel for Org Dev & Man for Results	49,805	58,376	97,709	45,511
Chapter 5 - Secretariat for Access to Rights and Equity	40,068	28,789	67,267	69,983
Chapter 6 - Secretariat for Strengthening Democracy	3,464,884	1,879,749	2,614,005	2,123,889
Chapter 7 - Executive Secretariat for Integral Development	500,286	426,721	545,213	843,188
Chapter 8 - Secretariat for Multidimensional Security	2,727,840	2,017,302	2,231,753	1,158,458
Chapter 9 - Secretariat for Hemispheric Affairs	197,679	208,568	423,299	403,121
Chapter 10 - Secretariat for Legal Affairs	153,298	30,427	176,647	80,645
Chapter 11 - Secretariat for Administration and Finance	13,448	13,455	-	-
Chapter 12 - Basic Infrastructure and Common Costs	-	5,330	-	19,200
Chapter 13 - Oversight and Supervisory Bodies	-	-	-	-
Other	-	-	-	5,674
Subtotal	8,198,627	5,985,790	6,960,014	5,855,068
Add: Interest Income	959,399	1,050,343	523,985	426,155
Add: net transfers and prior year related transactions	32,288	54,926	-	75,360
Total sources of financing	9,190,314	7,091,058	7,483,999	6,356,583

Organization of American States

Fund for Indirect Cost Recovery (FICR)

Preliminary and unaudited

SCHEDULE B

Uses of ICR: Uses by Area

(in USD)	2019	2018	2017	2016
	December 31	December 31	December 31	December 31
Uses of ICR				
Execution:				
Chapter 1 - Secretary General	493,684	33,159	-	-
Chapter 2 - Assistant Secretary General	56,400	51,700	56,531	157,818
Chapter 3 - Principal and Specialized Organs	35,000	35,000	35,000	47,750
Chapter 4 - Strategic Counsel for Org Dev & Man for Results	1,070,423	1,030,537	987,195	991,368
Chapter 5 - Secretariat for Access to Rights and Equity	144,142	91,856	-	-
Chapter 6 - Secretariat for Strengthening Democracy	632,068	457,809	568,391	530,369
Chapter 7 - Executive Secretariat for Integral Development	158,218	285,690	235,789	196,233
Chapter 8 - Secretariat for Multidimensional Security	452,331	420,196	398,889	547,171
Chapter 9 - Secretariat for Hemispheric Affairs	209,266	118,044	142,258	75,358
Chapter 10 - Secretariat for Legal Affairs	-	-	-	-
Chapter 11 - Secretariat for Administration and Finance	1,525,231	1,399,637	1,605,518	1,319,949
Chapter 12 - Basic Infrastructure and Common Costs	505,368	309,701	91,103	184,027
Chapter 13 - Oversight and Supervisory Bodies	120,211	95,728	108,677	82,900
Other	-	-	-	-
Total execution	5,402,343	4,329,057	4,229,351	4,132,941
Add: transfer to the Technology Fund	-	5,200,000	-	-
Add: Supplementary appropriation	500,000	-	-	-
Add: net transfers and prior year related transactions	-	-	90,538	-
Total uses of ICR	5,902,343	9,529,057	4,319,889	4,132,941

A) Includes non payroll obligations in the amount of USD 358 thousand.

Organization of American States

Fund for Indirect Cost Recovery (FICR)

Preliminary and unaudited

SCHEDULE C

Statement of Changes in Fund Balance

(in USD)	2019	2018	2017	2016
	December 31	December 31	December 31	December 31
Add: sources of financing				
ICR collection from Specific Funds	8,198,627	5,985,790	6,960,014	5,855,068
Interest income earned from Specific Funds	959,399	1,050,343	523,985	426,155
Transfer from Specific Fund Termination Reserve Fund (604)	-	-	-	-
Net prior year related transactions and other income	32,288	54,926	-	75,360
Total sources of financing	9,190,314	7,091,058	7,483,999	6,356,583
Less: uses of ICR				
Execution:				
Personnel	3,133,476	2,647,932	2,637,866	3,120,427
Non-personnel	1,944,635	1,340,407	1,217,653	542,367
Non-personnel (taxes)	324,232	340,871	373,832	470,148
Total execution	5,402,343	4,329,210	4,229,351	4,132,941
Transfer to the Technology Fund	-	5,200,000	-	-
Supplementary appropriation	500,000	-	-	-
Net prior year related transactions	-	-	90,538	-
Total uses of ICR	5,902,343	9,529,210	4,319,889	4,132,941
ICR Operations Subfund (ICROS), sources of financing minus uses	3,287,971	(2,438,152)	3,164,110	2,223,642
Add: ICR Reserve Subfund (ICRRS)	3,272,519	5,710,671	2,546,561	322,919
Fund balances at end of period	6,560,490	3,272,519	5,710,671	2,546,561

A) Includes non payroll obligations in the amount of USD 358 thousand.

Organization of American States

Fund for Indirect Cost Recovery (FICR)

Preliminary and unaudited

SCHEDULE D

Sources and Uses of ICR: Summary by Area

(in millions of USD)

	2019		2018		2018		2017		2016	
	December 31		December 31		December 31		December 31		December 31	
	Sources	Uses	Sources	Uses	Sources	Uses	Sources	Uses	Sources	Uses
Sources and Uses of ICR by Area										
Chapter 1 - Secretary General	0.141	0.494	0.027	0.033	0.027	0.033	0.013	-	-	-
Chapter 2 - Assistant Secretary General	0.012	0.056	0.021	0.052	0.021	0.052	0.000	0.057	0.000	0.158
Chapter 3 - Principal and Specialized Organs	0.899	0.035	1.269	0.035	1.269	0.035	0.791	0.035	1.105	0.048
Chapter 4 - Strategic Counsel for Org Dev & Man for Results	0.050	1.070	0.058	1.009	0.058	1.031	0.098	0.987	0.046	0.991
Chapter 5 - Secretariat for Access to Rights and Equity	0.040	0.144	0.029	0.092	0.029	0.092	0.067	-	0.070	-
Chapter 6 - Secretariat for Strengthening Democracy	3.465	0.632	1.880	0.471	1.880	0.458	2.614	0.568	2.124	0.530
Chapter 7 - Executive Secretariat for Integral Development	0.500	0.158	0.427	0.285	0.427	0.286	0.545	0.236	0.843	0.196
Chapter 8 - Secretariat for Multidimensional Security	2.728	0.452	2.017	0.399	2.017	0.420	2.232	0.399	1.158	0.547
Chapter 9 - Secretariat for Hemispheric Affairs	0.198	0.209	0.209	0.118	0.209	0.118	0.423	0.142	0.403	0.075
Chapter 10 - Secretariat for Legal Affairs	0.153	-	0.030	-	0.030	-	0.177	-	0.081	-
Chapter 11 - Secretariat for Administration and Finance	0.013	1.525	0.013	1.420	0.013	1.400	-	1.606	-	1.320
Chapter 12 - Basic Infrastructure and Common Costs	-	0.505	0.005	0.212	0.005	0.310	-	0.091	0.019	0.184
Chapter 13 - Oversight and Supervisory Bodies	-	0.120	-	0.055	-	0.096	-	0.109	-	0.083
Other	-	-	-	-	-	-	-	-	0.006	-
Subtotal	8.199	5.402	(A) 5.986	4.182	5.986	4.329	6.960	4.229	5.855	4.133
Add: Interest Income	0.959	-	0.736	-	1.050	-	0.524	-	0.426	-
Add: net transfers	-	0.500	-	5.200	-	5.200	-	-	-	-
Add: net prior year related transactions	0.032	-	0.051	-	0.055	-	-	0.091	0.075	-
Total	9.190	5.902	6.772	9.382	7.091	9.529	7.484	4.320	6.357	4.133

A) Includes non payroll obligations in the amount of USD 358 thousand.

2019 Fund for Indirect Cost Recovery (FICR)
 Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure
 From January 1, 2019 to December 31, 2019
Preliminary and unaudited
 (in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 1 (LIII-E/18) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 1 - Secretary General								
Office of the Secretary General (14A)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	492.7	1.0	493.7	449.3	44.4	-	0.2%	0.2%
Sub-Total	492.7	1.0	493.7	449.3	44.4	-	0.2%	0.2%
Chapter 1 - Secretary General Total	492.7	1.0	493.7	449.3	44.4	-	0.2%	0.2%
Chapter 2 - Assistant Secretary General								
Coordinating Office for the Offices and Units of the General Secretariat in the Member States (24C)								
(1)-Personnel	89.7	(89.7)	-	-	-	-	-100.0%	-100.0%
(2-9)-Non-Personnel	-	56.4	56.4	56.4	-	-	0.0%	N/A
Sub-Total	89.7	(33.3)	56.4	56.4	-	-	-37.1%	-37.1%
Chapter 2 - Assistant Secretary General Total	89.7	(33.3)	56.4	56.4	-	-	-37.1%	-37.1%
Chapter 3 - Principal and Specialized Organs								
Inter-American Juridical Committee (CJI) (34E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Sub-Total	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Chapter 3 - Principal and Specialized Organs Total	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Chapter 4 - Strategic Counsel for Org Dev & Man for Results								
Office of the Strategic Counsel for Organizational Development and Management for Results (44A)								
(1)-Personnel	251.5	(130.5)	121.0	112.5	-	8.5	-51.9%	-55.3%
(2-9)-Non-Personnel	139.3	271.4	410.7	406.1	-	4.6	194.8%	191.6%
Sub-Total	390.8	140.9	531.7	518.7	-	13.1	36.1%	32.7%
Department of Planning and Evaluation (44B)								
(1)-Personnel	616.3	(173.0)	443.3	443.3	-	-	-28.1%	-28.1%
(2-9)-Non-Personnel	22.3	38.6	60.9	60.9	-	-	173.3%	173.3%
Sub-Total	638.6	(134.3)	504.3	504.3	-	-	-21.0%	-21.0%
Department of External and Institutional Relations (44E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	47.5	-	47.5	47.5	-	-	0.0%	0.0%
Sub-Total	47.5	-	47.5	47.5	-	-	0.0%	0.0%
Chapter 4 - Strategic Counsel for Org Dev & Man for	1,076.9	6.6	1,083.5	1,070.4	-	13.1	0.6%	-0.6%

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2019 to December 31, 2019

Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 1 (LIII-E/18) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 5 - Secretariat for Access to Rights and Equity								
Secretariat for Access to Rights and Equity (54A)								
(1)-Personnel	115.7	28.4	144.1	144.1	-	-	24.6%	24.6%
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	115.7	28.4	144.1	144.1	-	-	24.6%	24.6%
Chapter 5 - Secretariat for Access to Rights and Equity	115.7	28.4	144.1	144.1	-	-	24.6%	24.6%
Chapter 6 - Secretariat for Strengthening Democracy								
Secretariat for Strengthening Democracy (64A)								
(1)-Personnel	571.4	(170.1)	401.3	401.3	-	-	-29.8%	-29.8%
(2-9)-Non-Personnel	89.7	141.1	230.8	230.8	-	-	157.3%	157.3%
Sub-Total	661.1	(29.0)	632.1	632.1	-	-	-4.4%	-4.4%
Chapter 6 - Secretariat for Strengthening Democracy	661.1	(29.0)	632.1	632.1	-	-	-4.4%	-4.4%
Chapter 7 - Executive Secretariat for Integral Development								
Executive Secretariat for Integral Development (74A)								
(1)-Personnel	212.2	(83.1)	129.1	128.9	-	0.2	-39.1%	-39.2%
(2-9)-Non-Personnel	51.5	(12.7)	38.8	29.3	-	9.5	-24.6%	-43.1%
Sub-Total	263.7	(95.7)	168.0	158.2	-	9.8	-36.3%	-40.0%
Chapter 7 - Executive Secretariat for Integral	263.7	(95.7)	168.0	158.2	-	9.8	-36.3%	-40.0%

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2019 to December 31, 2019

Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 1 (LIII-E/18) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 8 - Secretariat for Multidimensional Security								
Secretariat for Multidimensional Security (84A)								
(1)-Personnel	371.6	26.7	398.3	398.3	-	-	7.2%	7.2%
(2-9)-Non-Personnel	-	22.5	22.5	22.5	-	-	0.0%	N/A
Sub-Total	371.6	49.2	420.8	420.8	-	-	13.2%	13.2%
Executive Secretariat of the Inter-American Drug Abuse Control Commission (CICAD) (84G)								
(1)-Personnel	103.5	(93.9)	9.6	-	-	9.6	-90.8%	-100.0%
(2-9)-Non-Personnel	-	36.0	36.0	31.5	-	4.5	0.0%	N/A
Sub-Total	103.5	(57.9)	45.6	31.5	-	14.1	-56.0%	-69.6%
Department of Public Security (84E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	-	-	-	-	-	0.0%	N/A
Chapter 8 - Secretariat for Multidimensional Security	475.1	(8.7)	466.4	452.3	-	14.1	-1.8%	-4.8%
Chapter 9 - Secretariat for Hemispheric Affairs								
Secretariat for Hemispheric Affairs (94A)								
(1)-Personnel	127.1	-	127.1	126.3	-	0.8	0.0%	-0.6%
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	127.1	-	127.1	126.3	-	0.8	0.0%	-0.6%
Summits Secretariat (94E)								
(1)-Personnel	-	82.9	82.9	82.9	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	82.9	82.9	82.9	-	-	0.0%	N/A
Chapter 9 - Secretariat for Hemispheric Affairs Total	127.1	82.9	210.0	209.3	-	0.8	65.3%	64.6%

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2019 to December 31, 2019

Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 1 (LIII-E/18) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 11 - Secretariat for Administration and Finance								
Department of Human Resources (114B)								
(1)-Personnel	214.8	36.4	251.2	251.2	-	-	16.9%	16.9%
(2-9)-Non-Personnel	63.5	(0.8)	62.7	58.3	-	4.3	-1.3%	-8.1%
Sub-Total	278.3	35.6	313.9	309.5	-	4.3	12.8%	11.2%
Department of Financial Services (114C)								
(1)-Personnel	692.4	(90.4)	602.0	574.7	-	27.3	-13.1%	-17.0%
(2-9)-Non-Personnel	34.5	114.8	149.3	75.6	73.5	0.3	332.8%	332.0%
Sub-Total	726.9	24.4	751.3	650.3	73.5	27.6	3.4%	-0.4%
Department of Procurement Services (114E)								
(1)-Personnel	348.2	(4.9)	343.3	343.3	-	-	-1.4%	-1.4%
(2-9)-Non-Personnel	51.9	0.1	52.0	52.0	-	-	0.2%	0.2%
Sub-Total	400.1	(4.8)	395.3	395.3	-	-	-1.2%	-1.2%
Department of General Services (114F)								
(1)-Personnel	92.7	4.0	96.7	96.7	-	-	4.3%	4.3%
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	92.7	4.0	96.7	96.7	-	-	4.3%	4.3%
Chapter 11 - Secretariat for Administration and Finance	1,498.0	59.1	1,557.1	1,451.8	73.5	31.9	3.9%	1.8%

2019 Fund for Indirect Cost Recovery (FICR)

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2019 to December 31, 2019

Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 1 (LIII-E/18) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 12 - Basic Infrastructure and Common Costs								
OAS Enterprise Resource Management System (ERP/OASES) (124C)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	335.8	-	335.8	215.0	120.8	-	0.0%	0.0%
Sub-Total	335.8	-	335.8	215.0	120.8	-	0.0%	0.0%
Building Management and Maintenance (124D)								
(1)-Personnel	-	0.3	0.3	0.3	-	-	0.0%	N/A
(2-9)-Non-Personnel	139.0	(0.3)	138.7	124.3	14.4	-	-0.2%	-0.2%
Sub-Total	139.0	0.0	139.0	124.6	14.4	-	0.0%	0.0%
Home Leave (124I)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	13.7	13.7	11.8	-	1.9	0.0%	N/A
Sub-Total	-	13.7	13.7	11.8	-	1.9	0.0%	N/A
General Insurance (124E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	25.0	-	25.0	8.8	10.0	6.3	0.0%	-25.0%
Sub-Total	25.0	-	25.0	8.8	10.0	6.3	0.0%	-25.0%
Chapter 12 - Basic Infrastructure and Common Costs	499.8	13.7	513.5	360.2	145.1	8.1	2.7%	1.1%
Chapter 13 - Oversight and Supervisory Bodies								
Board of External Auditors (134C)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	145.2	(54.5)	90.7	1.0	89.7	0.0	-37.5%	-37.5%
Sub-Total	145.2	(54.5)	90.7	1.0	89.7	0.0	-37.5%	-37.5%
Secretariat of the OAS Administrative Tribunal (TRIBAD) (133A)								
(1)-Personnel	-	29.5	29.5	29.5	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	29.5	29.5	29.5	-	-	0.0%	N/A
Chapter 13 - Oversight and Supervisory Bodies Total	145.2	(25.0)	120.2	30.5	89.7	0.0	-17.2%	-17.2%
Grand Total	5,480.0	-	5,480.0	5,049.6	352.8	77.6	0.0%	-1.4%
Total ICR Budget Program								
(1)-Personnel	3,807.1	(627.0)	3,179.8	3,133.5	-	46.3	-16.5%	-17.7%
(2-9)-Non-Personnel	1,672.9	627.0	2,300.2	1,916.1	352.8	31.3	37.5%	35.6%
Grand Total	5,480.0	-	5,480.0	5,049.6	352.8	77.6	0.0%	-1.4%