

Organization of American States

Fund for Indirect Cost Recovery (FICR)

SCHEDULE A

Sources of ICR: Financing by Area

(in USD)	2017	2016	2015	2014
	December 31	December 31	December 31	December 31
	Preliminary and unadited			
Sources of ICR				
ICR collection from Specific Funds:				
Chapter 1 - Office of the Secretary General	13,377	-	-	33,516
Chapter 2 - Office of the Assistant Secretary General	155	411	979	2,781
Chapter 3 - Principal and Specialized Organs	790,588	1,104,988	621,752	745,139
Chapter 4 - Strategic Counsel for Org Dev & Man for Results (SCODMR)	97,709	45,511	25,545	145,186
Chapter 5 - Secretariat for Access to Rights and Equity (SARE)	67,267	69,983	69,357	119,315
Chapter 6 - Secretariat for Strengthening Democracy (SSD)	2,614,005	2,123,889	1,508,104	1,141,779
Chapter 7 - Executive Secretariat for Integral Development (SEDI)	545,213	843,188	576,648	1,004,831
Chapter 8 - Secretariat for Multidimensional Security (SMS)	2,231,753	1,158,458	916,214	1,839,181
Chapter 9 - Secretariat for Hemispheric Affairs (SHA)	423,299	403,121	248,086	463,106
Chapter 10 - Secretariat for Legal Affairs (SLA)	176,647	80,645	434,487	468,122
Chapter 11 - Secretariat for Administration and Finance (SAF)	-	-	639	617
Chapter 12 - Basic Infrastructure and Common Costs (BICC)	-	19,200	-	15,971
Chapter 13 - Oversight and Supervisory Bodies (OSB)	-	-	-	-
Other	-	5,674	-	-
Subtotal	6,960,014	5,855,068	4,401,811	5,979,545
Add: Interest Income	340,573	426,155	101,199	151,470
Add: net transfers and prior year related transactions	-	75,360	31,338	-
Total sources of financing	7,300,587	6,356,583	4,534,348	6,131,015

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SCHEDULE B

Uses of ICR: Uses by Area

(in USD)	2017	2016	2015	2014
	December 31	December 31	December 31	December 31
	Preliminary and unadited			
<u>Uses of ICR</u>				
Execution:				
Chapter 1 - Office of the Secretary General	-	-	-	-
Chapter 2 - Office of the Assistant Secretary General	56,531	157,818	188,618	196,071
Chapter 3 - Principal and Specialized Organs	35,000	47,750	141,779	269,321
Chapter 4 - Strategic Counsel for Org Dev & Man for Results (SCODMR)	987,195	991,368	1,094,838	1,042,653
Chapter 5 - Secretariat for Access to Rights and Equity (SARE)	-	-	-	-
Chapter 6 - Secretariat for Strengthening Democracy (SSD)	568,391	530,369	658,851	606,519
Chapter 7 - Executive Secretariat for Integral Development (SEDI)	235,789	196,233	357,757	417,228
Chapter 8 - Secretariat for Multidimensional Security (SMS)	398,889	547,171	545,819	674,594
Chapter 9 - Secretariat for Hemispheric Affairs (SHA)	142,258	75,358	38,203	15,148
Chapter 10 - Secretariat for Legal Affairs (SLA)	-	-	16,623	17,493
Chapter 11 - Secretariat for Administration and Finance (SAF)	1,605,518	1,319,949	1,370,022	1,353,927
Chapter 12 - Basic Infrastructure and Common Costs (BICC)	91,103	184,027	91,571	83,016
Chapter 13 - Oversight and Supervisory Bodies (OSB)	108,677	82,900	100,675	253,207
Other	-	-	-	-
Total execution	4,229,351	(A) 4,132,941	4,604,755	4,929,178
Add: transfer to the Regular Fund	-	-	-	1,500,000
Add: net transfers and prior year related transactions	90,538	-	-	158
Total uses of ICR	4,319,889	4,132,941	4,604,755	6,429,336

A) Includes non payroll obligations in the amount of USD 150 thousand as detailed on schedule E.

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Fund for Indirect Cost Recovery (FICR)

SCHEDULE C

Statement of Changes in Fund Balance

(in USD)	2017	2016	2015	2014
	December 31	December 31	December 31	December 31
	Preliminary and unaudited			
<u>Add: sources of financing</u>				
ICR collection from Specific Funds	6,960,014	5,855,068	4,401,811	5,979,545
Interest income earned from Specific Funds	340,573	426,155	101,199	151,470
Transfer from Specific Fund Termination Reserve Fund (604)	-	-	-	-
Net prior year related transactions and other income	-	75,360	31,338	-
Total sources of financing	7,300,587	6,356,583	4,534,348	6,131,015
<u>Less: uses of ICR</u>				
Execution:				
Personnel	2,637,866	3,120,427	3,528,719	3,430,793
Non-personnel	1,217,653	542,367	633,450	1,057,789
Non-personnel (taxes)	373,832	470,148	442,586	440,596
Total execution	4,229,351 (A)	4,132,941	4,604,755	4,929,178
Transfer to the Regular Fund	-	-	-	1,500,000
Net prior year related transactions	90,538	-	-	158
Total uses of ICR	4,319,889	4,132,941	4,604,755	6,429,336
ICR Operations Subfund (ICROS), sources of financing minus uses	2,980,698	2,223,642	(70,407)	(298,321)
Add: ICR Reserve Subfund (ICRRS)	2,546,561	322,919	393,326	691,647
Fund balances at end of period	5,527,259	2,546,561	322,919	393,326

A) Includes non payroll obligations in the amount of USD 150 thousand as detailed on schedule E.

Organization of American States

Fund for Indirect Cost Recovery (FICR)

SCHEDULE D

Sources and Uses of ICR: Summary by Area

(in millions of USD)

	2017		2016		2015		2014	
	December 31		December 31		December 31		December 31	
	Preliminary and unaudited							
	Sources	Uses	Sources	Uses	Sources	Uses	Sources	Uses
Sources and Uses of ICR by Area								
Chapter 1 - Office of the Secretary General	0.013	-	-	-	-	-	0.034	-
Chapter 2 - Office of the Assistant Secretary General	0.000	0.057	0.000	0.158	0.001	0.189	0.003	0.196
Chapter 3 - Principal and Specialized Organs	0.791	0.035	1.105	0.048	0.622	0.142	0.745	0.269
Chapter 4 - Strategic Counsel for Org Dev & Man for Results (SCODMR)	0.098	0.987	0.046	0.991	0.026	1.095	0.145	1.043
Chapter 5 - Secretariat for Access to Rights and Equity (SARE)	0.067	-	0.070	-	0.069	-	0.119	-
Chapter 6 - Secretariat for Strengthening Democracy	2.614	0.568	2.124	0.530	1.508	0.659	1.142	0.607
Chapter 7 - Executive Secretariat for Integral Development (SEDI)	0.545	0.236	0.843	0.196	0.577	0.358	1.005	0.417
Chapter 8 - Secretariat for Multidimensional Security (SMS)	2.232	0.399	1.158	0.547	0.916	0.546	1.839	0.675
Chapter 9 - Secretariat for Hemispheric Affairs (SHA)	0.423	0.142	0.403	0.075	0.248	0.038	0.463	0.015
Chapter 10 - Secretariat for Legal Affairs (SLA)	0.177	-	0.081	-	0.434	0.017	0.468	0.017
Chapter 11 - Secretariat for Administration and Finance (SAF)	-	1.606	-	1.320	0.001	1.370	0.001	1.354
Chapter 12 - Basic Infrastructure and Common Costs (BICC)	-	0.091	0.019	0.184	-	0.092	0.016	0.083
Chapter 13 - Oversight and Supervisory Bodies (OSB)	-	0.109	-	0.083	-	0.101	-	0.253
Other	-	-	0.006	-	-	-	-	-
Subtotal	6.960	4.229	(A) 5.855	4.133	4.402	4.605	5.980	4.929
Add: Interest Income	0.341	-	0.426	-	0.101	-	0.151	-
Add: net prior year related transactions	-	0.091	0.075	-	0.031	-	-	0.000
Total	7.301	4.320	6.357	4.133	4.534	4.605	6.131	4.929

A) Includes non payroll obligations in the amount of USD 150 thousand as detailed on schedule E.

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2017 to December 31, 2017 - Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 2 (LI-E/16) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 2 - Office of the Assistant Secretary General								
Coordinating Office for the Offices and Units of the General Secretariat in the Member States (24C)								
(1)-Personnel	98.3	(98.3)	-	-	-	-	-100.0%	-100.0%
(2-9)-Non-Personnel	-	56.5	56.5	56.5	-	-	0.0%	N/A
Sub-Total	98.3	(41.8)	56.5	56.5	-	-	-42.5%	-42.5%
Chapter 2 - Assistant Secretary General Total	98.3	(41.8)	56.5	56.5	-	-	-42.5%	-42.5%
Chapter 3 - Principal and Specialized Organs								
Inter-American Juridical Committee (CJI) (34E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Sub-Total	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Chapter 3 - Principal and Specialized Organs Total	35.0	-	35.0	35.0	-	-	0.0%	0.0%
Chapter 4 - Strategic Counsel for Org Dev & Man for Results (SCODMR)								
Office of the Strategic Counsel for Organizational Development and Results-Based Management (44A)								
(1)-Personnel	246.9	(157.6)	89.3	89.1	0.2	-	-63.8%	-63.8%
(2-9)-Non-Personnel	-	219.6	219.6	209.6	-	10.0	0.0%	N/A
Sub-Total	246.9	62.0	308.9	298.8	0.2	10.0	25.1%	21.1%
Department of Planning and Evaluation (44B)								
(1)-Personnel	641.8	(56.7)	585.1	584.6	0.5	-	-8.8%	-8.8%
(2-9)-Non-Personnel	-	108.1	108.1	103.1	-	5.0	0.0%	N/A
Sub-Total	641.8	51.4	693.2	687.7	0.5	5.0	8.0%	7.2%
Chapter 4 - Strategic Counsel for Organizational Development and Management for Results Total	888.7	113.5	1,002.2	986.5	0.7	15.0	12.8%	11.1%
Chapter 6 - Secretariat for Strengthening Democracy (SSD)								
Secretariat for Strengthening Democracy (64A)								
(1)-Personnel	568.6	(135.0)	433.6	432.9	0.7	-	-23.7%	-23.7%
(2-9)-Non-Personnel	-	102.1	102.1	97.8	4.3	-	0.0%	N/A
Sub-Total	568.6	(32.9)	535.7	530.7	4.9	-	-5.8%	-5.8%
Department of Electoral Cooperation and Observation (64B)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	40.0	40.0	26.2	6.6	7.3	0.0%	N/A
Sub-Total	-	40.0	40.0	26.2	6.6	7.3	0.0%	N/A
Chapter 6 - Secretariat for Strengthening Democracy Total	568.6	7.1	575.7	556.9	11.5	7.3	1.2%	0.0%

2017 Fund for Indirect Cost Recovery (FICR)

SCHEDULE E

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2017 to December 31, 2017 - Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 2 (LI-E/16) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 7 - Executive Secretariat for Integral Development (SEDI)								
Executive Secretariat for Integral Development (74A)								
(1)-Personnel	212.2	(94.4)	117.8	117.6	0.2	-	-44.5%	-44.5%
(2-9)-Non-Personnel	-	27.5	27.5	27.5	-	-	0.0%	N/A
Sub-Total	212.2	(67.0)	145.2	145.0	0.2	-	-31.6%	-31.6%
Department of Sustainable Development (74D)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	90.6	90.6	88.7	1.8	0.0	0.0%	N/A
Sub-Total	-	90.6	90.6	88.7	1.8	0.0	0.0%	N/A
Chapter 7 - The Executive Secretariat for Integral Development (SEDI) Total	212.2	23.6	235.8	233.8	2.0	0.0	11.1%	11.1%
Chapter 8 - Secretariat for Multidimensional Security (SMS)								
Secretariat for Multidimensional Security (84A)								
(1)-Personnel	644.5	(348.9)	295.6	295.0	0.5	-	-54.1%	-54.1%
(2-9)-Non-Personnel	-	22.5	22.5	22.5	-	-	0.0%	N/A
Sub-Total	644.5	(326.4)	318.1	317.5	0.5	-	-50.6%	-50.6%
Executive Secretariat of the Inter-American Drug Abuse Control Commission (CICAD) (84F)								
(1)-Personnel	101.0	(20.2)	80.8	80.6	0.2	-	-20.0%	-20.0%
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	101.0	(20.2)	80.8	80.6	0.2	-	-20.0%	-20.0%
Budgetary Adjustments (84X)								
(1)-Personnel	-	111.6	111.6	-	-	111.6	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	111.6	111.6	-	-	111.6	0.0%	N/A
Chapter 8 - The Secretariat for Multidimensional Security (SMS) Total	745.5	(235.0)	510.5	398.2	0.7	111.6	-31.5%	-46.5%

2017 Fund for Indirect Cost Recovery (FICR)

SCHEDULE E

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2017 to December 31, 2017 - Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 2 (LI-E/16) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 9 - Secretariat for Hemispheric Affairs (SHA)								
Secretariat for Hemispheric Policies (94A)								
(1)-Personnel	-	106.8	106.8	106.6	0.2	-	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	106.8	106.8	106.6	0.2	-	0.0%	N/A
Columbus Library (94E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	36.1	36.1	31.7	3.8	0.6	0.0%	N/A
Sub-Total	-	36.1	36.1	31.7	3.8	0.6	0.0%	N/A
Chapter 9 - The Secretariat for Hemispheric Policies (SHP) Total	-	142.9	142.9	138.3	3.9	0.6	N/A	N/A
Chapter 11 - Secretariat for Administration and Finance (SAF)								
Secretariat for Administration and Finance (114A)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	353.3	(278.4)	74.9	-	-	74.9	-78.8%	-100.0%
Sub-Total	353.3	(278.4)	74.9	-	-	74.9	-78.8%	-100.0%
Department of Human Resources (114B)								
(1)-Personnel	244.2	(117.3)	126.9	126.5	0.4	-	-48.0%	-48.0%
(2-9)-Non-Personnel	-	335.8	335.8	335.6	-	0.3	0.0%	N/A
Sub-Total	244.2	218.5	462.7	462.1	0.4	0.3	89.5%	89.4%
Department of Financial and Administrative Management Services (114C)								
(1)-Personnel	788.5	(407.5)	381.0	380.3	0.7	-	-51.7%	-51.7%
(2-9)-Non-Personnel	-	236.4	236.4	213.5	20.1	2.8	0.0%	N/A
Sub-Total	788.5	(171.0)	617.5	593.8	20.8	2.8	-21.7%	-22.1%
Department of Procurement Services (114E)								
(1)-Personnel	325.5	7.3	332.8	332.3	0.5	-	2.3%	2.3%
(2-9)-Non-Personnel	-	107.3	107.3	95.8	11.5	-	0.0%	N/A
Sub-Total	325.5	114.7	440.2	428.1	12.0	-	35.2%	35.2%
Department of General Services (114F)								
(1)-Personnel	95.0	(6.7)	88.3	88.1	0.2	-	-7.0%	-7.0%
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	95.0	(6.7)	88.3	88.1	0.2	-	-7.0%	-7.0%
Chapter 11 - The Secretariat for Administration and Finance (SAF) Total	1,806.5	(123.0)	1,683.5	1,572.2	33.4	78.0	-6.8%	-11.1%

2017 Fund for Indirect Cost Recovery (FICR)

Budget Execution Status Report and Transfers between Chapters by Chapter, Sub-Program and Object of Expenditure

From January 1, 2017 to December 31, 2017 - Preliminary and unaudited

(in thousands of USD)

Chapter / Area / Object of Expenditure	Original Appropriation AG/RES. 2 (LI-E/16) (a)	Transfers (b)	Modified Appropriation (c)	Expenditures (d)	Obligations (e)	Unobligated Appropriation (f = c - d - e)	% of Appropriation Transfers (g = b/a)	% Variance of Original Appropriation (h = d + e / a - 1)
Chapter 12 - Basic Infrastructure and Common Costs (BICC)								
Home Leave (124H)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	18.1	18.1	10.2	-	7.8	0.0%	N/A
Sub-Total	-	18.1	18.1	10.2	-	7.8	0.0%	N/A
Terminations and Repatriations (124G)								
(1)-Personnel	-	0.1	0.1	(0.1)	-	0.3	0.0%	N/A
(2-9)-Non-Personnel	-	-	-	-	-	-	0.0%	N/A
Sub-Total	-	0.1	0.1	(0.1)	-	0.3	0.0%	N/A
General Insurance (124E)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	25.0	25.0	1.4	23.6	-	0.0%	N/A
Sub-Total	-	25.0	25.0	1.4	23.6	-	0.0%	N/A
Recruitment and Transfers (124F)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	56.0	56.0	31.0	25.0	-	0.0%	N/A
Sub-Total	-	56.0	56.0	31.0	25.0	-	0.0%	N/A
Chapter 12 - Basic Infrastructure and Common Costs (BICC) Total	-	99.2	99.2	42.5	48.6	8.1	N/A	N/A
Chapter 13 - Oversight and Supervisory Bodies (OSB)								
Secretariat of the OAS Administrative Tribunal (TRIBAD) (133A)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	-	13.5	13.5	13.5	-	-	0.0%	N/A
Sub-Total	-	13.5	13.5	13.5	-	-	0.0%	N/A
Board of External Auditors (134C)								
(1)-Personnel	-	-	-	-	-	-	0.0%	N/A
(2-9)-Non-Personnel	145.2	-	145.2	41.7	53.5	50.0	0.0%	-34.5%
Sub-Total	145.2	-	145.2	41.7	53.5	50.0	0.0%	-34.5%
Chapter 13 - Compliance Oversight Management Bodies (COMB) Total	145.2	13.5	158.7	55.2	53.5	50.0	9.3%	-25.2%
Grand Total	4,500.0	-	4,500.0	4,075.1	154.3	270.6	0.0%	-6.0%
Total ICR Budget Program								
(1)-Personnel	3,966.5	(1,217.0)	2,749.7	2,633.7	4.2	111.8	-30.7%	-33.5%
(2-9)-Non-Personnel	533.5	1,217.0	1,750.3	1,441.4	150.1	158.8	228.1%	198.3%
Grand Total	4,500.0	-	4,500.0	4,075.1	154.3	270.6	0.0%	-6.0%