The U.S. Fish and Wildlife Service has the jurisdiction for implementation of CITES.

- Management Authority
  - Branch of Permits
  - Wildlife Trade and Conservation Branch
- Scientific Authority
- Law Enforcement
Presentation Overview

• Background information
  – U.S. Federal Shark Management
  – CITES Permits

• Wildlife Inspection Process
  – Import/Export License
  – Designated Ports
  – Non-Designated Ports
  – Prior Notification Requirements for Wildlife Products
U.S. Federal Management for Sharks (NOAA Fisheries)

- Fishery management plans and regulations
  - Permits required for U.S. fishermen and dealers
  - Reporting requirements
  - Commercial quotas, recreational retention limits
  - Prohibited species
  - Requirement to land sharks with fins naturally attached
  - Importers, exporters, re-exporters of shark fins must have permit
- Monitoring of imports to ensure compliance
- Certain shark dealers must attend shark identification workshops
CITES Permit Process for Sharks
Two part process in order to issue a CITES permit.

Division of Management Authority (DMA)
- Legal Acquisition Finding
  - wildlife was legally acquired (50 CFR § 23.60)

Division of Scientific Authority (DSA)
- Non-Detriment Finding
  - The proposed activity would not be detrimental to the survival of the species (50 CFR § 23.61)
Legal Acquisition

• Documentation demonstrating an unbroken chain of custody, or sequential ownership, from the fisher, through all intermediaries, to the exporter.

Fisher → Company A → Company B → the U.S. exporter

• This might be accomplished by providing copies of:
  – Valid state fishing licenses
  – Required permits
  – Catch records
Flowchart for Fishers

http://www.fws.gov/international/permits/by-species/sharks-and-rays.html
Flowchart for Exporters

http://www.fws.gov/international/permits/by-species/sharks-and-rays.html
Sharks and Rays

If you are fishing in the high seas and catch CITES species, you will need to obtain the following authorizations to land your catch in a foreign country and to export the catch from the United States:

1. Complete and submit applications for a CITES export permit to the U.S. Fish and Wildlife Service.
2. Contact the CITES national government authority for detailed information. For landings in Canada, please visit Canada's CITES Export Permit Website.
3. Apply for a CITES import certificate from the country where the catch will be landed. Contact the CITES national government authority for further information. For catch landed in the United States, please visit the U.S. Fish and Wildlife Service's CITES Import Permit Website.
4. Note: Certain CITES permits may require additional documentation. For some species, you may require the Application for Presentation of a Specimen (FPS-5). In some instances, you may require the Application for Presentation of a Specimen (FPS-5).

In addition to the above requirements, make sure that you have all of the required CITES permits before you fishing or engage in trade (export, import, and re-export) of highly-regulated species, including sharks and their fins.
Import/Export License

- The import/export license is required for all individuals or companies that engage in commercial trade as an importer or exporter of wildlife. This license, which is valid for one year, must be acquired before importing or exporting.
- The licensee must keep records and allow inspection of records and wildlife inventory.
- The license must be renewed each year as long as the commercial trade activity continues.
Designated Ports

All CITES listed wildlife must be imported or exported through one of the following designated ports:

<table>
<thead>
<tr>
<th>Anchorage, Alaska</th>
<th>Atlanta, Georgia</th>
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<tbody>
<tr>
<td>Baltimore, Maryland</td>
<td>Boston, Massachusetts</td>
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<tr>
<td>Chicago, Illinois</td>
<td>Dallas/Ft. Worth, Texas</td>
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<tr>
<td>Honolulu, Hawaii</td>
<td>Houston, Texas</td>
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<tr>
<td>Los Angeles, California</td>
<td>Louisville, Kentucky</td>
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<tr>
<td>Memphis, Tennessee</td>
<td>Miami, Florida</td>
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<tr>
<td>New Orleans, Louisiana</td>
<td>New York, New York</td>
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<tr>
<td>Newark, New Jersey</td>
<td>Portland, Oregon</td>
</tr>
<tr>
<td>San Francisco, California</td>
<td>Seattle, Washington</td>
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</tbody>
</table>
Non-designated Ports

**Canadian Border Ports**

- Unless specific authorization is given, Canadian border ports may only be used for the import or export of wildlife that does not require a permit under certain wildlife laws, and whose country of origin is Canada or the United States.

http://www.fws.gov/le/canadian-border-ports.html
Non-designated Ports

**Mexican Border Ports**

- Unless specific authorization is given, Mexican border ports may only be used for the import or export of wildlife that does not require a permit under certain wildlife laws and whose country of origin is Mexico or the United States.

http://www.fws.gov/le/mexican-border-ports.html
Designated Port Exception Permit (DPEP)

- An exemption to the required use of certain ports for wildlife import or export can be granted by this permit.
- The DPEP, which is valid for no more than two years, must be acquired before importing or exporting.
- A DPEP may be issued for single or multiple transactions and may be granted for scientific purposes, to minimize deterioration or loss, or to alleviate undue economic hardship.
- The final criterion that must be met for issuance of a DPEP is a determination that FWS staff is available.
Prior Notification Process

- The trade/importer must notify FWS 48 hours in advance of the import of a live or perishable shipment subject to FWS requirements.

- The trade/exporter must notify FWS and make the shipment available 48 hours in advance of the export of any wildlife, whether perishable or not.

- The trade/importer/exporter must also notify FWS a minimum of 48 hours in advance of the import or export of any commodity subject to FWS requirements when using a DPEP. This latter notification may require additional prior notification depending upon the port, species, and special conditions listed on the DPEP.
U.S. FISH AND WILDLIFE SERVICE
DECLARATION FOR IMPORTATION OR EXPORTATION OF
FISH OR WILDLIFE

1. Date of Import/Export: (mm/dd/yyyy)

2. Import/Export License Number:

3. Indicate One: Import  Export

4. Port of Clearance:

5. Purpose Code: 

6. Customs Document Number(s)

7. Name of Carrier:

8. Air Waybill or Bill of Lading Number:
   Name:
   Port:

9. Transportation Code:

10. Bonded Location for Inspection:

11. Number of Cartons Containing Wildlife:

12. Markings on Cartons Containing Wildlife:

13a. Indicate One: (Complete name/U.S. address/telephone number/e-mail address)
   U.S. Importer
   Foreign Importer

14a. (Indicate One: (Complete name/foreign address/telephone number/e-mail address)
   U.S. Exporter
   Foreign Exporter

14b. Country Code

13b. Identifier Number: 
   ID Type:

14c. Identifier Number: 
   ID Type:

15a. Customs Broker, Shipping Agent or Freight Forwarder:
   (Complete business name/address/telephone and fax number/e-mail address)

15b. Identifier Number: 
   ID Type:

15c. Contact Name:

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Knowingly making a false statement in a Declaration for Importation or Exportation of Fish or Wildlife may subject the declarant to the penalty provided by 18 U.S.C. 1011 and 16 U.S.C. 3372(d).

22. I certify under penalty of perjury that the information furnished is true and correct.

   Signature: ___________________________ Date: ___________________________

   Type or Print Name: ___________________________

See Reverse Side of this Form for Privacy Act Notice
<table>
<thead>
<tr>
<th>Field</th>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Permittee Name (name and address, country)</td>
<td>SHARKOACO INC 123A CORPORATION LANE ARLINGTON, VIRGINIA U.S.A.</td>
</tr>
<tr>
<td>Consignee Name (name and address, country)</td>
<td>ACME DENTAL 777 FRONT ROW AVENUE UNITED KINGDOM</td>
</tr>
<tr>
<td>Special Conditions</td>
<td>MUST COMPLY WITH ENCLOSED GENERAL PERMIT CONDITIONS.</td>
</tr>
<tr>
<td>Purpose of Transaction</td>
<td>T</td>
</tr>
<tr>
<td>U.S. Management Authority</td>
<td>U.S. FISH AND WILDLIFE SERVICE DIVISION OF MANAGEMENT AUTHORITY BRANCH OF PERMITS, ME 1A 5275 LEESBURG PKWY FALLS CHURCH VA 22041-3803</td>
</tr>
<tr>
<td>Issuing Date</td>
<td>08/27/2014</td>
</tr>
<tr>
<td>Authority</td>
<td>Endangered Species Act of 1973 (16 USC 1531 et seq.)</td>
</tr>
<tr>
<td>Country of Origin</td>
<td>AUSTRALIA</td>
</tr>
<tr>
<td>Country of Last Re-export</td>
<td>SINGAPORE</td>
</tr>
<tr>
<td>Common Name</td>
<td>GREAT WHITE SHARK</td>
</tr>
<tr>
<td>Scientific Name</td>
<td>LHICU-HUON CARCHARHIDAE</td>
</tr>
<tr>
<td>Permit/Certificate No.</td>
<td>2011-AU-123456</td>
</tr>
<tr>
<td>Date of Issue</td>
<td>01/29/2011</td>
</tr>
<tr>
<td>CITES Certificate No.</td>
<td>118G001234CR</td>
</tr>
<tr>
<td>Date of Issue</td>
<td>06/22/2011</td>
</tr>
<tr>
<td>Export/Re-export Endorsement</td>
<td>The official who inspects shipment upon exportation or re-exportation must enter the total quantity of specimens being exported or re-exported in this block.</td>
</tr>
<tr>
<td>Port of Exportation/Re-exportation</td>
<td></td>
</tr>
<tr>
<td>Total No. of Shipping Containers</td>
<td></td>
</tr>
<tr>
<td>Inspecting Officials Stamp, Signature and Date</td>
<td></td>
</tr>
</tbody>
</table>
Contact Information for U.S. CITES Authorities:

- **Management Authority**
  - Telephone: +1-703-358-2104
  - Fax: +1-703-358-2280
  - Email: managementauthority@fws.gov

- **Scientific Authority**
  - Telephone: +1703-358-1708
  - Fax: +1-703-358-2276
  - Email: scientificauthority@fws.gov
Contact Information for U.S. CITES Authorities:

- Office of Law Enforcement
- Telephone: +1-703-358-1949
- Fax: +1-703-358-1947
- Email: lawenforcement@fws.gov