



17th St. & Constitution Avenue N.W.  
Washington, D.C. 20006  
United States of America

Organization of American States

P. 202.458.3000  
[www.oas.org](http://www.oas.org)

INTER-AMERICAN DRUG ABUSE  
CONTROL COMMISSION

**CICAD**

Secretariat for Multidimensional Security

**XXXVIII GROUP OF EXPERTS FOR THE CONTROL OF MONEY LAUNDERING**  
**May 22-23, 2014**  
**Washington, D.C.**

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**MODEL SURVEY**  
**DIAGNOSIS REGARDING THE SAFETY OF MEMBERS OF THE AML / CTF SYSTEM PARTICULARLY**  
**MEMBERS OF THE FIU, LEA and ARO**

# Sub -Working Group on coordination and integration of FIU / LEA

**Objective:** Joint development of a model survey that allows for a diagnosis regarding the safety of members of the AML / CTF system, particularly members of the FIU, LEA and asset recovery offices (ARO).

**Presentation:** May 23, 2014

**Deadline:** August 15, 2014

**Presentation of results:** September 2014

	Question	Answer (YES / NO)	Norms or Laws	FIU / OIC Internal rules (Regulations, procedures, memos, memorandum of understanding / conventions)	Comments or observations
1	Within your AML / CTF system are there special rules concerning the protection of officials of the FIU / LEA/ARO?				
2	Does it have protocols or procedures for preventive security of FIU, LEA and ARO officers?				
3	Are there protocols or reactive security procedures in the event that there is a threat to a FIU / LEA/ARO officer?				
4	Does your country have transparency rules requiring publishing of information on FIU / LEA/ARO officials?				

<b>5</b>	Does a particular institution exist that would assign a level of risk to a threat?				
<b>6</b>	Is there an institution that is responsible for canceling certain security measures if there is not a certain level of risk?				
<b>7</b>	Is there a self-care policy for officials of the AML / CTF system that would prevent dangerous situations?				
<b>8</b>	Have cooperation mechanisms been created between the different actors of the AML / CTF system in order to comprehensively address a threat?				
<b>9</b>	Do the different institutions buy insurance for officials working in this kind of investigations?				
<b>10</b>	Do the various actors in the system give precedence to the protection of officials over the results of a particular investigation?				
<b>11</b>	In the event of any imminent threat to any member of the FIU, LEA or ARO, do security measures tend to distance him from the case, or on the contrary, is it preferred that the same officer remain on the case?				
<b>12</b>	Are there protocols to provide legal protection for your officers?				