



*The Egmont Group  
of Financial Intelligence Units*

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Egmont Strategic Analysis Course

# Session 1

## Introduction

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Participant Manual

Version 30 October 2012

## 1. Introduction

The aim of this course is to develop an understanding of the skills, practices and standards required to prepare quality strategic analysis products, and to appreciate the availability and usefulness of a range of tools that will assist you in the process.

We will focus on different types of strategic analysis products and the benefits of using and producing strategic analysis within a Financial Intelligence Unit.

### **Y Activity 1.1 - Introductions**

You will be provided with a card or piece of paper that has the name of one of the other participants in the room.

Your task is to find that person and interview them. You will need to determine the following:

- Who they are
- Where they are from
- Why they are here
- Something interesting about them.

You will then introduce this person to the rest of the group.

### **Y Activity 1.2 – Team-Building Exercise**

Instructions:

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Observations:

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## 2. Financial Action Task Force (FATF)

The Financial Action Task Force (FATF) clearly states that Financial Intelligence Units (FIUs) must undertake analysis of information received and held by the FIU.




It goes further to state that the FIU should undertake both operational analysis and strategic analysis.







### Recommendation 29

Countries should establish a financial intelligence unit (FIU) that serves as a national centre for the receipt and analysis of: (a) suspicious transaction reports; and (b) other information relevant to money laundering, associated predicate offences and terrorist financing, and for the dissemination of the results of that analysis. The FIU should be able to obtain additional information from reporting entities, and should have access on a timely basis to the financial, administrative and law enforcement information that it requires to undertake its functions properly.

### Interpretive Note to Recommendation 29

- *FIU analysis should add value to the information received and held by the FIU.*
- *FIUs should conduct the following types of analysis:*
  - *Operational analysis using available and obtainable information to identify specific targets, etc.*
  - *Strategic analysis using available and obtainable information, including data that may be provided by other competent authorities, to identify ML/TF related trends and patterns ... to determine threats and vulnerabilities ... and to help establish policies and goals.*

<div data-bbox="253 184 402 226">  The Egmont Group of Financial Intelligence Units </div> <div data-bbox="308 350 753 472"> <h2>Strategic Analysis Course</h2> <h3>Session 1</h3> <h3>Introduction</h3> </div> <div data-bbox="777 573 789 590">0</div>	<div data-bbox="846 184 995 226">  The Egmont Group of Financial Intelligence Units </div> <div data-bbox="1024 346 1248 445"> <h2>Activity</h2> <h3>Introductions</h3> </div> <div data-bbox="1378 573 1390 590">1</div>
<div data-bbox="253 644 402 686">  The Egmont Group of Financial Intelligence Units </div> <div data-bbox="250 686 821 1068">  <h2>Activity</h2> <h3>The Marshmallow Challenge!</h3> </div> <div data-bbox="777 1031 789 1047">2</div>	<div data-bbox="867 735 1395 968">  <p>Egmont SAC - Team Building Exercise</p> <p>Hours Minutes Seconds</p> </div>
<div data-bbox="253 1104 402 1146">  The Egmont Group of Financial Intelligence Units </div> <div data-bbox="409 1213 664 1467">  </div>	<div data-bbox="846 1104 995 1146">  The Egmont Group of Financial Intelligence Units </div> <div data-bbox="872 1159 1187 1190"> <h3>FATF Recommendation 29</h3> </div> <ul style="list-style-type: none"> <li>• FIU analysis should add value to the information received and held by the FIU.</li> <li>• FIUs should conduct the following types of analysis: <ul style="list-style-type: none"> <li>• Operational analysis using available and obtainable information to identify specific targets, etc.</li> <li>• Strategic analysis using available and obtainable information, including data that may be provided by other competent authorities, to identify ML/TF related trends and patterns ... to determine threats and vulnerabilities ... and to help establish policies and goals ...</li> </ul> </li> </ul>

<div data-bbox="253 184 402 226">  The Egmont Group of Financial Intelligence Units </div> <h3>Course aim</h3> <hr/> <ul style="list-style-type: none"> <li>• Undertake strategic analysis</li> <li>• Produce a variety of valuable strategic analysis products</li> <li>• Use those products to their best advantage.</li> </ul>	<div data-bbox="846 184 995 226">  The Egmont Group of Financial Intelligence Units </div> <h3>Course objectives</h3> <hr/> <ul style="list-style-type: none"> <li>• Explain the uses and benefits of strategic analysis</li> <li>• Describe the stages in the development of a strategic analysis product</li> <li>• Appreciate the hazards of mindsets and biases and measures to minimize their negative effects</li> <li>• Explain project planning and collection planning</li> <li>• Employ specific tools to facilitate their strategic analysis activities</li> </ul>
<div data-bbox="253 644 402 686">  The Egmont Group of Financial Intelligence Units </div> <h3>Course overview</h3> <hr/> <h4>Course content</h4> <p><b>Day 1</b></p> <ul style="list-style-type: none"> <li>• Session 1 – Introduction</li> <li>• Session 2 – Mindsets and biases</li> <li>• Session 3 – Understanding analysis</li> </ul> <p><b>Day 2</b></p> <ul style="list-style-type: none"> <li>• Session 4 – Strategic analysis products</li> <li>• Session 5 – Intelligence cycle</li> <li>• Session 6 – Planning and tasking</li> </ul>	<div data-bbox="846 644 995 686">  The Egmont Group of Financial Intelligence Units </div> <h3>Course overview</h3> <hr/> <h4>Course content</h4> <p><b>Day 3</b></p> <ul style="list-style-type: none"> <li>• Session 7 – Collection and evaluation</li> </ul> <p><b>Day 4</b></p> <ul style="list-style-type: none"> <li>• Session 8 – Advanced critical thinking</li> <li>• Session 9 – Reporting and dissemination</li> </ul> <p><b>Day 5</b></p> <ul style="list-style-type: none"> <li>• Session 10 – Global exercise</li> </ul>
<div data-bbox="253 1104 402 1146">  The Egmont Group of Financial Intelligence Units </div> <h3>FIU strategic unit roles &amp; structures</h3> <hr/> <p>What does a strategic analysis unit look like?</p> <p>What are the roles within a strategic unit?</p>	<div data-bbox="846 1104 995 1146">  The Egmont Group of Financial Intelligence Units </div> <hr/> <h2>Questions</h2> <p>?</p>